How sound is the system?  
Upstream, Downstream

Chris Graf, New Business Director,  
Professional Innovations, Wiley  
Treasurer, Committee on Publication Ethics
Upstream
Guidelines, v1.0

International survey, editors

doi:10.1136/jme.2008.028324
Ethics in practice: Improvements in ethical policies and practices in Wiley health science journals following a two-stage audit cycle. Graf C, Meadows M, Stevens A, Wager E.
http://www.peerreviewcongress.org/abstracts_2013.html#77
https://db.tt/0vH6BjMz
Journal audit, Phase 2

Ethics in practice: Improvements in ethical policies and practices in Wiley health science journals following a two-stage audit cycle. Graf C, Meadows M, Stevens A, Wager E.
http://www.peerreviewcongress.org/abstracts_2013.html#77
https://db.tt/0vH6BjMz
Journal audit, Results

Ethics in practice: Improvements in ethical policies and practices in Wiley health science journals following a two-stage audit cycle. Graf C, Meadows M, Stevens A, Wager E.

http://www.peerreviewcongress.org/abstracts_2013.html#77  https://db.tt/0vH6BjMz
Best Practice Guidelines on Publishing Ethics: A Publisher's Perspective. Second Edition. Written by a team of colleagues at Wiley that includes this presenter. Published March 12, 2014. 
http://exchanges.wiley.com/ethicsguidelines
82 journals answered 29 questions twice

1. checking redundancy (88%, ↑14%)
2. authors’ conflicts of interest (87%, ↑6%)
3. correcting errors (85%, ↑17%)
4. issuing retractions (68%, ↑20%)
5. labelling and linking retractions (67%, ↑15%)
6. raising concerns with authors (66%, ↑12%)
7. asking reviewers about research ethics (60%, ↑19%)

https://db.tt/0vH6BjMz  http://www.peerreviewcongress.org/abstracts_2013.html#77
82 journals answered 29 questions twice

23. require clinical trials to be registered (27%, ↑8%)
24. have a system for handling editors’ papers (22%, ↓1%)
25. publish their appeal mechanism (20%, ↑14%)
26. publish editors’ COIs (15%, ↑7%)
27. have raised ethical concerns with institutions (12%, ↔)
28. have issued correction(s) for missing COIs (4%, ↓3%)
29. have referred case(s) to COPE (2%, ↓3%)

https://db.tt/0vH6BjMz  http://www.peerreviewcongress.org/abstracts_2013.html#77
Downstream

- Whistleblowing
- Complaints
- Investigations
- Retractions
- Legal
- Scrutiny
My recommendations

• More work upstream
• More open-ness
• More scrutiny